

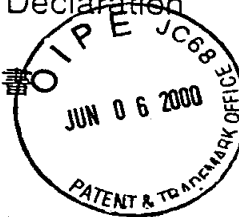
Declaration and Power of Attorney For Patent Application

#3

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書



下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

OPTICAL DEVICE SUBSTRATE FILM-FORMATION APPARATUS, OPTICAL DISK SUBSTRATE FILM-FORMATION METHOD, SUBSTRATE HOLDER MANUFACTURE METHOD, SUBSTRATE HOLDER, OPTICAL DISK AND A PHASE-CHANGE RECORDING TYPE OF OPTICAL DISK

上記発明の明細書は、

the specification of which

☐ 本書に添付されています。

☐ is attached hereto.

☐ ____月 ____日に提出され、米国出願番号または特許協定条約国際出願番号を____とし、
(該当する場合) ____に訂正されました。

☒ was filed on February 4, 2000
as United States Application Number or
PCT International Application Number
09/498,375 and was amended on
____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration
(日本語宣誓書)

私は、米国法典第35編119条 (a) - (d) 項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365 (a) 項に基づく国際出願、又は外国での特許出願もしくは発明特許の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明特許の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)
外国での先行出願

| | |
|--------------------------------------|-----------------------------------|
| <u>11-031952</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-210671</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-273894</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-290494</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-321377</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-323734</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-197298</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>11-304096</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |
| <u>2000-2005</u> (Number) (番号) | <u>Japan</u> (Country) (国名) |

私は、第35編米国法典119条 (e) 項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

| (Application No.) (出願番号) | (Filing Date) (出願日) |
|-----------------------------|------------------------|
|-----------------------------|------------------------|

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条 (c) に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願を提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

| (Application No.) (出願番号) | (Filing Date) (出願日) |
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| (Application No.) (出願番号) | (Filing Date) (出願日) |
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私は、私自身の知識に基づいて本宣誓書中で私が行なう説明が真実であり、かつ私の入手した情報と私の信じているところに基づく説明が全て真実であると信じていること、さらに故意になされた虚偽の説明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed
優先権主張

| | | |
|---|---|------------------------------------|
| <u>9/FEB/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>26/JUL/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>28/SEP/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>13/OCT/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>11/NOV/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>15/NOV/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>12/JUL/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>26/OCT/1999</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |
| <u>7/JAN/2000</u> (Day/Month/Year Filed) (出願年月日) | <input checked="" type="checkbox"/> Yes はい | <input type="checkbox"/> No いいえ |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

| (Application No.) (出願番号) | (Filing Date) (出願日) |
|-----------------------------|------------------------|
|-----------------------------|------------------------|

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

| (Status: Patented, Pending, Abandoned) (現状: 特許許可、係属中、放棄済) |
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| (Status: Patented, Pending, Abandoned) (現状: 特許許可、係属中、放棄済) |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

委任状：私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。
(弁理士、または代理人の指名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

Norman F. Oblon, Registration Number 24,618; Marvin J. Spivak, Registration Number 24,913; C. Irvin McClelland, Registration Number 21,124; Gregory J. Maier, Registration Number 25,599; Arthur I. Neustadt, Registration Number 24,854; Richard D. Kelly, Registration Number 27,757; James D. Hamilton, Registration Number 28,421; Eckhard H. Kuesters, Registration Number 28,870; Robert T. Pous, Registration Number 29,099; Charles L. Gholz, Registration Number 26,395; Vincent J. Sunderdick, Registration Number 29,004; William E. Beaumont, Registration Number 30,996; Steven B. Keiber, Registration Number 30,073; Robert F. Gnuse, Registration Number 27,295; Jean-Paul Lavalleye, Registration Number 31,451; Stephen G. Baxter, Registration Number 32,884; Martin M. Zoltick, Registration Number 35,745; Robert W. Hahl, Registration Number 33,893; Richard L. Treanor, Registration Number 36,379; Steven P. Weihrouch, Registration Number 32,829; John T. Goolkasian, Registration Number 26,142; Marc R. Labgold, Registration Number 34,651; William J. Healey, Registration Number 36,160; Richard L. Chinn, Registration Number 34,305; Steven E. Lipman, Registration Number 30,011; Carl E. Schlier, Registration Number 34,426; James J. Kulbaski, Registration Number 34,648; Catherine B. Richardson, Registration Number 39,007; Richard A. Neifeld, Registration Number 35,299; and J. Derek Mason, Registration Number 35,270; with full powers of substitution and revocation.

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|-------------|---|
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| 発明者の署名 | Inventor's signature <i>Kazunori Ito</i> |
| 日付 | Date Feb. 28, 2000 |
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| 第二共同発明者 | Full name of second joint inventor, if any Katsunari HANAOKA |
| 第二共同発明者の署名 | Second Inventor's signature <i>Katsunari Hanaka</i> |
| 日付 | Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
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(第三以降の共同発明者についても同様に記載し、署名すること)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

| | |
|-------|---|
| | Full name of third joint inventor, if any Hiroshi DEGUCHI |
| 日付 | Third Inventor's signature <i>Hiroshi Deguchi</i> Date Feb. 28, 2000 |
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| 日付 | Fourth Inventor's signature <i>Nobuaki Onagi</i> Date Feb. 28, 2000 |
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| | Full name of fifth joint inventor, if any Hiroko TASHIRO |
| 日付 | Fifth Inventor's signature <i>Hiroko Tashiro</i> Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
| 国籍 | Citizenship Japanese |
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| | Full name of sixth joint inventor, if any Kiyoto SHIBATA |
| 日付 | Sixth Inventor's signature <i>Kiyoto Shibata</i> Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
| 国籍 | Citizenship Japanese |
| 郵便の宛先 | Post Office Address c/o Ricoh Co., Ltd. 3-6, Nakamagome 1-chome, Ohta-ku, TOKYO 143-8555 JAPAN |

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

| | |
|-------|---|
| | Full name of Seventh joint inventor, if any |
| | Yasutomo AMAN |
| 日付 | Seventh Inventor's signature <i>Yasutomo Aman</i> Date Feb. 28, 2000 |
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| | Full name of eighth joint inventor, if any |
| | Hiroshi MIURA |
| 日付 | Eighth Inventor's signature <i>Hiroshi Miura</i> Date Feb. 28, 2000 |
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| | Full name of ninth joint inventor, if any |
| | Wataru OHTANI |
| 日付 | Ninth Inventor's signature <i>Wataru Ohtani</i> Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
| 国籍 | Citizenship Japanese |
| 郵便の宛先 | Post Office Address c/o Ricoh Co., Ltd. 3-6, Nakamagome 1-chome, Ohta-ku, TOKYO 143-8555 JAPAN |

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| | Full name of tenth joint inventor, if any |
| | Hajime YUZURIHARA |
| 日付 | Tenth Inventor's signature <i>Hajime Yuzurihara</i> Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
| 国籍 | Citizenship Japanese |
| 郵便の宛先 | Post Office Address c/o Ricoh Co., Ltd. 3-6, Nakamagome 1-chome, Ohta-ku, TOKYO 143-8555 JAPAN |

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

| | |
|-------|---|
| | Full name of eleventh joint inventor, if any Masaru SHINKAI |
| 日付 | Eleventh inventor's signature <i>Masaru Shinkai</i> Date Feb. 28, 2000 |
| 住所 | Residence Kanagawa, Japan |
| 国籍 | Citizenship Japanese |
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(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)